

## MAIL STOP PCT

Patent 8075-1023

#### IN THE U.S. PATENT AND TRADEMARK OFFICE

In re application of

Yasuhiko KASAMA et al.

Conf.: 4399

Application No.: 10/563,379

Group: Unassigned as yet

(PCT/JP04/09945)

Filed: January 5, 2006

Examiner: Unassigned as yet

LIGHT-EMITTING ELEMENT AND LIGHT EMITTING DEVICE

### RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS

#### MAIL STOP PCT

**Assistant Commissioner for Patents** P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In response to the accompanying Notification of Missing Requirements mailed March 30, 2006, for the above-identified application, an executed Declaration was filed on February 27, 2006. Attached are copies of a Transmittal Letter and Declaration filed in the U.S. Patent and Trademark Office (USPTO) on February 27, 2006, together with a copy of the associated USPTO date-stamped postcard.

Attached is the English Translation which was omitted at the time of filing the abovecaptioned application, which was filed on January 5, 2006 in the names of Yasuhiko KASAMA and Kenji OMOTE.

The required 37 CFR 1.492(e) surcharge was paid at the time of filing the application.

To the extent necessary during prosecution, Applicants hereby request any required extension of time not otherwise requested and hereby authorize the Commissioner to charge any

Docket No. 8075-1023 Appln. No. 10/563,379

prescribed fee not otherwise paid, including application processing, extension, and extra claims fees, to Deposit Account No. 25-0120.

Respectfully submitted,

YOUNG & THOMPSON

Benoit Castel, Reg. No. 35,041 745 South 23<sup>rd</sup> Street

Arlington, VA 22202

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BC/sj

May 15, 2006

	THE LINUTED STATES	/ ey Docket No.					
TRANSMITTAL LE R	D OFFICE (DO/EO/18)	8c. 3-1023					
DESIGNATED/ELLUTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. Application No.					
CONCERNING A FILING		10/563,379					
INTERNATIONAL APPLN. NO.	INTERNATIONAL FILING DATE	INTERNATIONAL APPLN. NO.					
PCT/JP2004/009945	July 12, 2004	PCT/JP2004/009945					
TITLE OF INVENTION: LIGHT-EMITTING	ELEMENT AND LIGHT-EMITTING DEVICE						
APPLICANT(S) FOR DO/EO/US: Yasul	niko KASAMA and Kenii OMOTE						
Applicant herewith submits to the Unit	ed States Designated Elected Office (D	O/EO/US) the following items and					
Applicant herewith submits to the United States Designated Elected Office (DO/EO/US) the following items and other information:							
1. This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.							
2. Missis a SECOND or SUBSE	QUENT submission of items concerning	g a filing under 35 U.S.C. 371.					
2. This is an express request to	begin national examination procedures	(35 U.S.C. 371(f)).					
3. This is an express request to	items (5), (6), (9) and (21) indicated be	low					
		1000					
4. The US has been elected (Article 31).							
5. A copy of the International Application as filed (35 U.S.C. 371 (c)(2))  a. is attached hereto (required only if not communicated by the International Bureau).							
a.  is attached hereto (requir	ed only if not communicated by the inte	ernational buleau).					
b.  has been communicated	by the International Bureau. See attach	nea PC1/IB/308.					
c. is not required, as the ap	plication was filed in the United States I	Receiving Office (RO/US).					
6. An English language translat	ion of the International Application as fil	ed (35 U.S.C. 371 (c)(2))					
a.  is attached hereto.	-						
b. has been previously sub	mitted under 35 U.S.C. 154(d)(4).						
7. Amendments to the claims o	f the International Application under PC	T Article 19 (35 U.S.C. 371 (c)(3))					
The stand hearth (required only if not communicated by the International Bureau)							
a.  are attached hereto (req	d by the International Bureau.						
<ul> <li>b.  have been communicated by the International Bureau.</li> <li>c.  have not been made, however, the time limit for making such amendments has NOT expired.</li> </ul>							
d. have not been made and	will not be made.	ador DCT Article 19 (25 U.S.C. 371 (c)(3))					
8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).							
9. An oath or declaration of the	inventor(s) (35 U.S.C. 371(c)(4))	14 4 47(5.3.3)					
10. A Declaration of Inventorship	o for purposes of U.S.A. designation pu	rsuant to rule 4.17(IV). ))					
11. An English language transla	tion of the annexes of the International	Preliminary Examination Report					
under PCT Article 36 (35 U.S.C. 371(c)(5)).							
Items 12 to 23 below concern	document(s) or information included	l:					
12.  Information Disclosure State	ement (IDS) w/PTO-1449 -  Copy of	IDS citations 🛛 PCT/ISA/210					
International Search Report	included	•					
13. Assignment Papers (cover s		•					
14. A preliminary amendment.							
15. An Application Data Sheet u	ınder 37 C.F.R. 1.76.						
16. Itemized Return Receipt Po							
17. Abstract	•						
\							
18. A substitute specification.	amont under 37 CEP 83 73/h)						
19. Power of Attorney and State							
a. Newly executed Po	wer or Aπorney	ottor					
b.  A change of Power	of Attorney and/or change of address l	euer.					
20. A computer-readable form	of the sequence listing in accordance w	ith PCT Rule 13ter.2					
and 37 CFR 1.821 - 1.825.							
21. A second copy of the publis	shed international application under 35	U.S.C. 154(d)(4).					
22. A second copy of the English	22. A second copy of the English language translation of the international application (35 U.S.C. 154(d)(4)).						
23. Other items or information:							
25. G Saler Reins of Information.							

U.S. APPLICATION NO. 10/563,379	INTERNATIONAL APPLN PCT/JP2004/009945	1. NO.	, ORNE 8075-1023	Y DOCKET N	Ο.
Applicant claims small entity status. See 37 CFR 1.27.			CALCULATIONS		
				PTO US	E ONLY
The following fees are submitted:					
PCT FEES - NATIONAL STAGE					
/ <u>Fee Description</u>					
Basic National Stage Fee					
Non ISA/US Search Provided National Stage Search Fee					
US was the IPEA And all claims		age Examina	ition Fee		
the provisions of PCT Article 33 (1)-(4) Surcharge of \$130.00 for furnishing the	oath or declaration later than	□ 20- 🗵 30			
months from the earliest claimed priority					
National Stage Application size fee each additional 50	Additional Sheets		Fee From Below		
sheets in excess of 100	- 100 = = _	<u>·</u> x	\$125.00	\$0.00	
Fee Code 1681/2681	50				
CLAIMS	NUMBER FILED NU	MBER EXTRA	RATE	-	
Independent Claims Fee Codes 1614 /	2614 1 - 3 = 0		x \$100.00	\$0.00	
Total Claims Fee Codes 1615 /	<del></del>		x \$25.00	\$0.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable) Fee Code 1616 / 2616 + \$360.00					
Processing fee of \$130.00 for furnishing months from the earliest claimed priority			] 30	\$0.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). (Fee code 8021)					
\$40.00 per property + TOTAL FEES ENCLOSED =				\$0.00	
	TOTAL PELS I	ENCLOSED		Amount to be	\$
·				refunded: Charged:	\$
A short in the constant of the		- :4414			
A check in the amount of \$ to cover the above fees is attached.					
The Director is hereby authorized to charge indicated fees and credit any overpayment to Deposit Account No. 25-0120 in the name of Young & Thompson. A duplicate copy of this sheet is enclosed.					
The Director is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 25-0120 for any additional fee required under 37 C.F.R. §§ 1.16 or 1.17.					
		Λ	~ ! /	0 41	•
SEND ALL CORRESPONDENCE TO: YOUNG & THOMPSON		<u>/5e</u>	nor (	asle	
745 South 23 <sup>rd</sup> Street Arlington, VA 22202		GIGN	ATURE		
Telephone: (703) 521-2297				g. No. 35,041	
Facsimile: (703) 685-0573		NAM	E, REGISTF	RATION NUME	BER
Y&T Customer No. <b>00466</b>			ary 27, 200	6	
BC/pmt		DATE			



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS

P.O. Box 1450
Alexandris, Virginis 22313-1450
www.uspto.gov

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

10/563,379

Yasuhiko Kasama

8075-1023

INTERNATIONAL APPLICATION NO. PCT/JP04/09945

I.A. FILING DATE

PRIORITY DATE

07/12/2004

07/10/2003

00466 YOUNG & THOMPSON 745 SOUTH 23RD STREET 2ND FLOOR ARLINGTON, VA 22202

**CONFIRMATION NO. 4399** 371 FORMALITIES LETTER

\*OC000000018406854\*

Date Mailed: 03/30/2006

# NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 01/05/2006
- Copy of the International Search Report filed on 01/05/2006
- Small Entity Statement filed on 01/05/2006
- Request for Immediate Examination filed on 01/05/2006
- U.S. Basic National Fees filed on 01/05/2006
- Priority Documents filed on 01/05/2006
- Non-English Language Application filed on 01/05/2006
- Claims filed on 01/05/2006
- Abstracts filed on 01/05/2006

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Translation of the application into English. Note a processing fee will be required if submitted later than 30 months from the priority date.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

VONDA M WALLACE

Telephone: (703) 308-9140 EXT 225

### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/563.379	PCT/JP04/09945	8075-1023

FORM PCT/DO/EO/905 (371 Formalities Notice)